National Ombudsman Reporting System (NORS) Complaint Codes

Effective October 1, 2021



The complaint codes in this document are from the Administration for Community Living (ACL)/Administration on Aging (AoA) – Office of Long-Term Care Ombudsman Programs, National Ombudsman Reporting System (NORS) Table 2: Complaint codes and definitions. Refer to Table 2 for complaint code definitions, examples, and reporting tips. 1

RESIDENTS' RIGHTS

A. Abuse, Gross Neglect, Exploitation

Ao1. Abuse: physical

Ao2. Abuse: sexual (touching and non-touching acts)

Ao3. Abuse: psychological

Ao4. Financial exploitation

Ao5. Gross neglect (Use categories F or J when gross neglect is not clearly indicated.)

Perpetrator: Person(s) who appears to have caused the abuse or neglect or exploitation.

01. Facility staff

02. Another resident

03. Family, resident representative, friend

99. Other

B. Access to Information

Bo1. Access to information and records

Bo2. Language and communication barriers

Bo3. Willful interference

C. Admission, Transfer, Discharge, Eviction

Co1. Admission

Co2. Appeal process

Co3. Discharge or eviction

Co4. Room issues: includes room change

D. Autonomy, Choice, Rights

Do1. Choice in health care

Do2. Live in less restrictive setting

Do3. Dignity and respect

Do4. Privacy

Do₅. Response to complaints

Do6. Retaliation

Do7. Visitors

Do8. Resident or family council

Dog. Other rights and preferences

Financial, Property (except for exploitation and involving facility staff)

Eo1. Billing and charges

Eo2. Personal property: includes loss or mismanagement including resident's money or trust fund

RESIDENT CARE

F. Care

Fo1. Accidents and falls

Fo2. Response to requests for assistance includes call lights

Fo3. Care planning

Fo4. Medications

Fo5. Personal hygiene: includes bathing, soiled clothing, hands & face washing, teeth or dentures not cleaned

Fo6. Access to health-related services

Fo7. Symptoms unattended

Fo8. Incontinence care

Fog. Assistive devices or equipment

F10. Rehabilitation services

F11. Physical restraint

F12. Chemical restraint

F13. Infection control

G. Activities, Community Integration and Social Services

Go1. Activities

Go2. Transportation

Go3. Conflict resolution: includes disagreement between residents where assistance from Ombudsman is requested

Go4. Social services

H. Dietary

Ho1. Food service

Ho2. Dining and hydration

Ho3. Therapeutic or special diet

FACILITY ENVIRONMENT, ADMINISTRATION

I. Environment

Io1. Environment: includes room or water temperature and ventilation

102. Building structure

103. Supplies, storage and furnishings

104. Accessibility: includes building & grounds

105. Housekeeping, laundry and pest abatement

J. Facility policies, procedures and practices

Jo1. Administrative oversight

Jo2. Fiscal management

Jo3. Staffing

NON-FACILITY

K. Complaints about an outside agency (non-facility)

Ko1. Regulatory system

Ko2. Medicaid

Ko3.Managed care

Ko4.Medicare

Ko5. Veterans Affairs

Ko6. Private insurance

L. System: Others (non-facility)

Lo1. Resident representative or family conflict

Lo2. Services from an outside provider

Lo3. Request to transition to community setting

¹ https://ltcombudsman.org/uploads/files/support/NORS_Table_2_Complaint_Code_10-31-2024.pdf